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PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John Ruckart

Examiner:

Gerald Gauthier

Serial No.:

09/888,832

Group Art Unit:

2643

Filed:

June 25, 2001

Docket No.:

60027.0003US01

Title:

Audio Caller Identification

#### **CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 24, 2003.

Name: Alton Hornsby, III

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

**RECEIVED** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

JUN 3 0 2003

**Technology** Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

# Certification Under 37 C.F.R. §1.97(e)(2)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that no item of information listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making a reasonable inquiry, no item of information listed on the enclosed

Form 1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

**MERCHANT & GOULD** 

Alton Hornsby, III

Registration No. 47,299

June 24, 2003 404-954-5100

Merchant & Gould P.C. 3200 IDS Center 80 South Eighth Street Minneapolis, MN 55402-2215



FORM 1449\*

THM 5 & 5003

### INFORMATION DISCLOSURE STATEMENT

Docket Number:

Application Number:

60027.0003US01/BS00376 09

09/888,926

IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Ruckart

Filing Date: June 25, 2001

Group Art Unit: 2643

& TRADEMARK			U.S. PATENT DOCUMEN	TS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
	5,999,613	12-1999	Nabkel et al.	379/142.04	142.04		
	6,065,844	05-2000	Chen	359	857		
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		F	OREIGN PATENT DOCUM	IENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.